

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 109878.124)

In re Application of: Ferrari, et al.) Examiner: Not Yet Assigned
Serial No. 09/961,131) Group Art Unit: 2173
Filing Date: September 21, 2001)

For: SCALABLE HIERARCHICAL DATA-DRIVEN NAVIGATION SYSTEM AND METHOD
FOR INFORMATION RETRIEVAL

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date indicated below.

Date: 3-4-03

Leslie Rukstalis
Leslie Rukstalis

Commissioner for Patents
Washington, D.C. 20231

FIFTH INFORMATION DISCLOSURE STATEMENT
WITH STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

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Commissioner:

Applicant and their legal representatives hereby make of record on the attached PTO Form-1449 the following publications, which are known to them and considered warranting disclosure under 37 C.F.R. §1.56. Copies of each of these publications are enclosed.

Applicant states under 37 C.F.R. §1.97(e)(1) that the publications were cited in an International Search Report issued in connection with a counterpart Patent Cooperation Treaty Application No. PCT/US02/25484 not more than three months prior to the filing of this statement. A copy of the International Search Report is also enclosed for the Examiner's review. Copies of some documents cited in the International Search Report are enclosed. Other documents have been previously cited in prior Information Disclosure Statements and have been omitted from this Information Disclosure Statement.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior

art. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

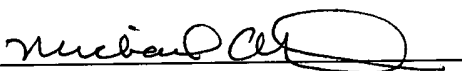
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper; therefore, no fees are believed to be due.

The Commissioner, however, is hereby authorized to charge any fees necessary to maintain the pendency of this application to Deposit Account No. 08-0219.

Respectfully submitted,

Date: 3-4-03


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|--|---|----|---|-----------------------------------|----------------------------------|
| Sub Form PTO-1449 | | | | Docket Number 109878.124 | Application Number 09/961,131 |
| INFORMATION DISCLOSURE IN AN APPLICATION (Use several sheets if necessary) | | | | Applicant Ferrari, et al. | |
| | | | | Filing Date September 21, 2001 | Group Art Unit 2173 |
| Sheet | 1 | OF | 1 | | |

| U.S. Patent Documents | | | | | | |
|-----------------------|--------------------|------|------|-------|----------|-------------------------------|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | | | | | | |

| Foreign Patent Documents | | | | | | |
|--------------------------|--------------------|---------------|---------|-------|----------|-------------|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | YES NO |
| | WO 01/67300 | Sep. 13, 2001 | PCT | | | |

| Other Documents (Including Author, Title, Date Pertinent Pages, Etc.) | | |
|---|--|--|
| A1 | Pollitt, et al. "View-Based Searching Systems – Progress Towards Effective Disintermediation" <i>Online Information Meeting Proceedings</i> , (1996) pp. 433-445 | |

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| EXAMINER | DATE CONSIDERED |
| EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant. | |